#### **RESOLUTION 1997-28**

## A RESOLUTION ADOPTING 68 IAC 1-16; 68 IAC 2-3-9.2; 68 IAC 2-3-9.3 AND AMENDMENTS TO 68 IAC 9-4-5; 68 IAC 11-2-1; 68 IAC 11-3-1; AND 68 IAC 15-6-5 FOR PUBLICATION AS PROPOSED RULES

The Indiana Gaming Commission ("Commission") adopts the following resolution pursuant to the authority granted to it under IC 4-33 and pursuant to 68 IAC 1-2-6.

The following factors have been considered by the Commission:

- 1. The Commission has begun promulgation of these rules pursuant to IC 4-22. The rules are to be published in the *Indiana Register* as soon as all of the statutory requirements of IC 4-22 are met. A public hearing is to be held on these rules in compliance with IC 4-22.
- 2. The promulgation process for the final promulgation of the rules will take a minimum of four (4) to six (6) months.

# NOW, THEREFORE, BE IT RESOLVED BY THE INDIANA GAMING COMMISSION, THAT THE FOLLOWING RESOLUTION IS ADOPTED:

#### **SECTION 1. SCOPE**

This resolution applies to each applicant, each licensee, each riverboat license applicant, any person who does business with an applicant or licensee, and any person who is a patron of a Riverboat Gambling Operation.

#### **SECTION 2. DEFINITIONS.**

The definitions set forth in IC 4-33-2 and 68 IAC apply to this resolution.

### SECTION 3. ADOPTION OF THE PROPOSED RULES.

The Commission adopts the following proposed rules pending the promulgation process:

**Article 1 General Provisions** 

68 IAC 1-16

Rights and Duties of Riverboat Licensees and Riverboat

License Applicants

Article 2 License; Approval of Associate Equipment

68 IAC 2-3-9.2

Riverboat Licensee's Duty to Update Commission

68 IAC 2-3-9.3

**Prohibited Activities** 

The Commission adopts the following amendments to rules that have been fully promulgated:

Article 9 Ethics

68 IAC 9-4-5

Restriction on Gaming by Employee of Riverboat Licensee

Article 11 Internal Control Procedures

68 IAC 11-2-1

General Provisions [for Hard Count]

68 IAC 11-3-1

General Provisions [for Soft Count]

Article 15 Accounting Records and Procedures

68 IAC 15-6-5

Computation of [Admission] Tax

The Commission adopts these rules which will be forwarded to Legislative Services Agency as soon as the requirement of IC 4-22 are complete, and further adopts any stylistic, grammatical, typographical, or other nonsubstantive changes which the Commission or the Legislative Services Agency may make to the rules and any changes that the Commission and the Attorney General's office may determine are necessary to ensure the rules comply with all applicable laws and rules.

#### **SECTION 4. EFFECTIVE DATE.**

This RESOLUTION is effective immediately.

#### SECTION 5. EXPIRATION DATE.

This RESOLUTION expires thirty (30) days from the date and time that the Secretary of State accepts the rules listed in Section 3 for filing.

ADOPTED, THIS 19TH DAY OF AUGUST, 1997:

THE INDIANA GAMING COMMISSION:

Alan I. Klineman, Chair

Thomas Milcarek, Commissioner